

Audit, Governance and Standards Committee

MINUTES of the OPEN section of the Audit, Governance and Standards Committee held on Monday 11 February 2019 at 7.00 pm at Ground Floor Meeting Room G02B - 160 Tooley Street, London SE1 2QH

PRESENT: Councillor Gavin Edwards (Chair)
Councillor Dora Dixon-Fyle MBE
Councillor Humaira Ali
Councillor Andy Simmons
Councillor Dan Whitehead

OFFICER SUPPORT: Jo Anson, Head of Financial and Information Governance
Norman Coombe, Head of Corporate Team, Legal Services
Doreen Forrester-Brown, Director of Law and Democracy
Emma Marinos, Director of Modernise
Ferenc Morath, Investment Manager
Laura Sandy, Corporate Risk and Insurance Manager
Steve Tredinnick, MD, Shared IT Service
Virginia Wynn-Jones, Constitutional Team
Greg Rubins, BDO
Nick Baker, BDO
Paul Dossett, Grant Thornton
Matt Dean, Grant Thornton

1. APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillors Nick Dolezal and Victoria Olisa. Apologies for lateness were received from Councillor Andy Simmons.

2. CONFIRMATION OF VOTING MEMBERS

The members present were confirmed as the voting members.

3. NOTIFICATION OF ANY ITEMS OF BUSINESS WHICH THE CHAIR DEEMS URGENT

The chair agreed to accept item 16, appointment of non-voting co-opted members of the civic awards sub-committee for 2018-19, as late and urgent.

4. DISCLOSURE OF INTERESTS AND DISPENSATIONS

There were none.

5. MINUTES

The minutes of the last meeting were agreed as a correct record and signed by the chair.

6. GOVERNANCE TOPIC: IT GOVERNANCE

Emma Marinos, director of Modernise, and Steve Tredinnick, interim MD of the shared IT service, presented on this topic.

Members thanked the officers for their presentation.

7. UPDATE ON LEDBURY ESTATE - MANAGEMENT RESPONSE TO RECOMMENDATIONS OF THE INDEPENDENT REVIEW OF THE LEDBURY

Officers presented the report. Members had questions for the officers.

RESOLVED:

1. That the Audit, Governance and Standards Committee noted the contents of the report.

8. BDO INTERNAL AUDIT PROGRESS REPORT

Officers presented the report. Members had questions for the officers.

RESOLVED:

1. That the audit, governance and standards committee noted BDO's internal audit progress report, as attached at Appendix A of the report.

9. BDO DRAFT INTERNAL AUDIT PLAN 2019-20

Officers presented the report. Members had questions for the officers.

RESOLVED:

1. That the audit, governance and standards committee noted BDO's draft internal audit plan 2019-20, as attached at Appendix A of the report.

10. GRANT THORNTON EXTERNAL AUDIT PLAN FOR THE YEAR ENDING 31 MARCH 2019

Officers presented the report. Members had questions for the officers.

RESOLVED:

1. That the audit, governance and standards committee noted Grant Thornton's external audit plan for the year ending 31 March 2019, as attached at Appendix A of the report.

11. GRANT THORNTON 2017-18 EXTERNAL AUDIT CERTIFICATION LETTER

Officers presented the report. Members had questions for the officers.

RESOLVED:

1. That the audit, governance and standards committee noted Grant Thornton's external audit Certification Letter, as attached at Appendix A of the report.

12. ANNUAL REPORT ON CORPORATE RISK AND INSURANCE

Officers presented the report. Members had questions for the officers.

RESOLVED:

1. That the audit, governance and standards committee noted the annual report on corporate risk and insurance.
2. That the audit, governance and standards committee reviewed the current corporate risk register and provided comments to officers for their consideration.

13. FORMATION OF SUB-COMMITTEE TO DECIDE ON AN ALLEGATION AGAINST THE CODE OF CONDUCT FOR MEMBERS

Officers presented the report. Members had questions for the officers.

RESOLVED:

1. That the committee noted the issues outlined in this report.
2. That the committee appointed Councillor Gavin Edwards, Councillor Dora Dixon-Fyle and Councillor Humaira Ali to form a sub-committee to decide on the allegation against the Code of Conduct for Members.
3. That committee appointed Councillor Gavin Edwards as chair of the sub-committee.

14. ANNUAL REPORT ON THE WORK AND PERFORMANCE OF THE AUDIT, GOVERNANCE AND STANDARDS COMMITTEE IN 2018-19

Officers presented the report. Members had questions for the officers.

RESOLVED:

1. That the audit, governance and standards committee reviewed the draft self-assessment of good practice attached at Appendix 1 and considered whether it would wish to make any amendments to it.
2. That the audit, governance and standards committee agreed to forward this report on its work and performance in 2018-19 to all councillors, subject to any amendments it wishes to make.

Councillor Humaira Ali recorded her vote against this item.

15. DRAFT WORK PROGRAMME FOR 2019-20

Officers presented the report. Members had questions for the officers.

RESOLVED:

1. That the audit, governance and standards committee considered the proposed draft work programme for 2019-20 and whether they would wish to make amendments to arrangements as set out in paragraphs 8 and 9 of the report, or in respect of any other matters.
2. That the audit, governance and standards committee agreed the work programme for 2019-20 set out in Appendix 2 of the report.

16. APPOINTMENT OF NON-VOTING CO-OPTED MEMBERS OF THE CIVIC AWARDS SUB-COMMITTEE FOR 2018-19

Officers presented the report. Members had questions for the officers.

Officers undertook to ensure that information on the nominees for the role of non-voting co-opted member of the civic awards sub-committee was circulated further in advance of the meeting in future.

RESOLVED:

1. That the committee considered the nominations for the positions of co-opted members of the audit, governance and standards (civic awards) sub-committee outlined in closed Appendix 1 of the report and agreed the nominations.

Meeting ended at 9.00 pm

CHAIR:

DATED: